LEAD AGENCY
Ohio Department of Rehabilitation and Corrections (ODRC)

STATE-LEVEL SUPPORT AGENCIES
Ohio Department of Youth Services (ODYS)
Ohio Department of Transportation (ODOT)
Ohio Department of Natural Resources (ODNR)
Ohio State Highway Patrol (OSHP)
Ohio Emergency Management Agency (OEMA)
Adjutant General’s Department, Ohio National Guard (ONG)
American Red Cross (ARC)
The Salvation Army (TSA)

FEDERAL-LEVEL SUPPORT AGENCIES
Federal Aviation Administration (FAA)
Federal Bureau of Investigation (FBI)
Federal Bureau of Alcohol, Tobacco and Firearms (ATF)

I. INTRODUCTION

A. Purpose

1. This Tab establishes a concept of operations and assignments of responsibility for correctional institution and prison support and response activities from state- and federal-level agencies for ODRC facilities and operations during emergencies and critical incidents.

II. SITUATION

A. This Tab provides the outline for the acquisition of resources and the assistance of State and Federal Agencies in response to a Critical Incident at an ORDC facility or operation. This plan also covers supportive response to the Federal Correctional Institution (FCI) in Elkton, Ohio, a low security facility located in central Columbiana County; and to Ohio’s two private prisons (property and buildings owned by ODRC, but managed and run by a private company) – the Lake Erie Correctional Institution and the North Coast Correctional Treatment Facility, both in northeast Ohio.
Critical Incidents that could impact an ORDC Facility or operation include:

1. Inmate Disturbance
2. Escape
3. Hostage Situation
4. Bomb Threat
5. Natural/Manmade disaster
6. Fire
7. Evacuation
8. Utility Facilities Failure
9. Employee Job Action

B. This Plan supports planning for preparedness, response and recovery activities for state agency support when a prison or other ODRC facility requests resources and support.

C. The Director of ODRC will designate an individual to coordinate assistance from the Federal Bureau of Alcohol, Tobacco and Firearms (ATF) in response to a Critical Incident. This individual will coordinate all security and any other needs of the agency, which may include, but not be limited to: specific security issues, access to facilities, and protection of equipment and personnel. By ODRC policy, the Institution Incident Commander has been delegated authority to manage a Critical Incident.

D. Where there is active involvement of Statewide Support Agencies, a Unified Command structure will likely be established and command authority will then be shared with assisting agencies. ODRC, the COIOC, the Institution Incident Commander and the prison Incident Command Organization will work closely with the FAA to coordinate their response. In order to maintain effective information release, the ODRC’s Public Information Office will coordinate the Public/Media information release. The FAA shall consult with the ODRC Public Information Officer prior to the release of information.

III. CONCEPT OF OPERATIONS

A. Overview

1. ODRC will coordinate their resource requests and provision of services to local jurisdictions from the State Emergency Operations Center (SEOC).

2. ODRC institutions that are involved in emergency response will be responsible for the employment of internal communications, resources and facilities.

3. ODRC will be responsible for the maintenance of command structures, the tracking of deployed personnel and resources.
4. ODRC may engage in the following response and recovery activities:
   a. Maintaining control and protection of offenders within legal authority.
   b. Protecting of staff during emergency events.
   c. Protection of the public and surrounding communities and local infrastructure from riots and escapees.
   d. Assisting in the dissemination of alerts, warnings and notifications.
   e. Coordinating ODRC activities and support from local EOCs and command centers as needed to request or manage resources and personnel. For ODRC, this coordination will occur in the State EOC.
   f. Supporting the relocation and temporary housing of offenders confined to institutions.
   g. Furnishing status reports on casualty and damage observations to the SEOC on a timely basis.
   h. Maintaining and protect logs, records, digests and reports essential to government and emergency operations.
   i. Assisting in the provision of institutional support in a phased return to normal operations of affected areas.
   j. Phasing-down operations to include a return to normal operations, shifts and hours.
   k. Supporting recovery operations at the site of the disaster.

5. ODRC will coordinate and support the Department of Youth Services (DYS) in maintaining a Safe and secure environment for staff and youth offenders housed in DYS facilities by:
   a. Providing specialty trained staff to supplement or support facility security.
   b. Providing additional transportation support.
   c. Providing temporary secure housing for Youth offenders.

B. The ORDC Director will designate an individual to coordinate FAA, FBI, ODOT, OEMA and ONG assistance in response to a Critical Incident.

C. The ODRC Institution Incident Commander has been delegated authority to manage a Critical Incident.
D. If there is active involvement of Statewide Support Agencies, a Unified Command structure may be established and command authority may be shared with assisting agencies.

E. ODRC, the ODRC Central Office Incident Operations Center (COIOC), the Institution Incident Commander and the prison Incident Command Organization will work closely with the FAA to coordinate their response.

F. The ODRC’s Public Information Office will coordinate information releases to the public and to the media with the SEOC Joint Information Center.

G. The FAA public information officer will work as part of the SEOC JIC.

H. The ODRC Director will designate an individual to coordinate OSHP assistance and needs in response to a Critical Incident. This individual will coordinate all security and any other needs of the agency, which may include, but not be limited to: specific security issues, access to facilities, and protection of equipment and personnel.

I. Ohio State Highway Patrol (OSHP) Operations
   a. ODRC will work with OSHP to identify and arrange necessary utility connections and other support services to facilitate the use of the OSHP resources.
   b. In the event of a prison riot or other civil disorder, and when conditions indicate that local authorities are unable to contain the situation, the Governor may order the OSHP to enforce criminal laws in an area affected by the riot/disturbance.
   c. Through the superintendent, and individual troopers, the Governor may designate such support until the termination of the emergency. (ORC 5503.02)
   d. In the event of a Critical Incident in a facility managed by ODRC, the local OSHP post will be contacted by the impacted ODRC facility.
   e. If an incident expands beyond the capabilities of the prison, the ODRC (COIOC) may be activated. Once activated, ODRC personnel assigned to the COIOC will be responsible for coordinating the OSHP response to the incident.
   f. Requests for assistance by the OSHP to ODRC will be coordinated through the SEOC.
   g. Local Post response to ODRC facilities will be according to the following OSHP District location schedule:
      District 1
      i. Lima Post - Allen Correctional Institution, Oakwood Correctional Facility
      ii. Toledo Post - Toledo Correctional Institution
District 2
i. Mansfield Post - Mansfield Correctional Institution, Richland Correctional Institution
ii. Marion Post - Marion Correctional Institution, North Central Correctional Institution

District 3
i. Elyria Post - Grafton Correctional Institution, Lorain Correctional Institution, North Coast Correctional Treatment Facility

District 4
i. Warren Post - Trumbull Correctional Institution
ii. Canfield Post - Ohio State Penitentiary
iii. Ashtabula Post - Lake Erie Correctional Institution

District 5
i. Dayton Post - Dayton Correctional Institution, Montgomery Education & Pre-Release
ii. Marysville Post - Ohio Reformatory for Women

District 6
i. West Jefferson Post - London Correctional Institution, Madison Correctional Institution
ii. Office of Investigative Services - Correctional Medical Center, Franklin Pre-Release Center
iii. Circleville Post - Correctional Reception Center, Pickaway Correctional Institution, Corrections Training Academy
iv. Lancaster Post - Southeastern Correctional Institution
v. District 7
vi. St. Clairsville Post - Belmont Correctional Institution
vii. Cambridge Post - Noble Correctional Institution

District 8
i. Lebanon Post - Lebanon Correctional Institution, Warren Correctional Institution

District 9
i. Chillicothe Post - Chillicothe Correctional Institution, Ross Correctional Institution
ii. Portsmouth Post - Southern Ohio Correctional Institution
iii. Athens Post - Hocking Correctional Facility

District 10

i. Cleveland Operations - Northeast Pre-Release Center
ii. Berea Post - Northeast Pre-Release Center

J. Ohio Department of Transportation (ODOT) Operations

1. ODOT will provide, as able, and according to the local ODOT District location schedule, below, traffic control and heavy equipment assets and resources:

a. District 1 - Allen Correctional Institution, Oakwood Correctional Institution

b. District 2 - Toledo Correctional Institution

c. District 3 - Grafton Correctional Institution, Lorain Correctional Institution, Mansfield Correctional Institution, Richland Correctional Institution, North Coast Treatment Facility

d. District 4 - Trumbull Correctional Institution, Ohio State Penitentiary, Lake Erie Correctional Institution

e. District 5 - Southeastern Correctional Institution

f. District 6 - Corrections Medical Center, Correctional Reception Center, Franklin Pre-Release Center, London Correctional Institution, Madison Correctional Institution, Marion Correctional Institution, North Central Correctional Institution, Ohio Reformatory for Women, Pickaway Correctional Institution

g. District 7 - Dayton Correctional Institution, Montgomery Education and Pre-Release Center

h. District 8 - Lebanon Correctional Institution, Warren Correctional Institution

i. District 9 - Chillicothe Correctional Institution, Ross Correctional Institution, Southern Ohio Correctional Facility

j. District 10 - Hocking Correctional Facility, Noble Correctional Institution

k. District 11 - Belmont Correctional Institution
l. District 12 - Northeast Pre-Release Center

K. Primary assistance provided between the Ohio Department of Youth Services (ODYS) and ODRC

1. Hostage Negotiation Teams (HNT)
   a. Hostage Negotiation Teams are regional teams comprised of hostage negotiators who respond and negotiate a resolution to hostage situations. Staff from DYS and DRC currently form unified, Regional Hostage Negotiation Teams, where employees from both agencies are assigned to Hostage Negotiation Teams based on the geographic location of the site in which each staff member works. Members of the Hostage Negotiation Teams from both agencies are certified as Hostage Negotiators through the ODRC Hostage Negotiation Training Program.
   
   b. When any of the Regional Hostage Negotiation Teams are activated, each member of that Regional Team will be expected to respond as assigned.
   
   c. Either the Deputy Director of Facility Programs & Operations or Bureau Chief of Facility Operations for ODYS or a ODRC Warden can activate the regional Hostage Negotiation Team.
   
   d. If additional assistance from other Regional Hostage Negotiation Teams is needed, regardless of whether the site is managed by ODYS or ODRC, the request for additional assistance shall be communicated to the other agency. The communication from ODYS needs to be to the ODYS Director’s Office, and the communication from ODRC needs to be to the appropriate Regional Director’s Office.

2. Special Response Team (SRT)
   a. A Department of Rehabilitation and Correction institution team trained to respond to a Critical Incident using special tactical and control techniques to resolve the situation and support special security operations necessary to manage disruptive inmates or disruptive youth.
   b. Should any ODYS site need the services of SRT, the following will occur:
      
      i. A request for assistance shall be communicated from the site Superintendent or designee to the Deputy Director of Facility Programs & Operations or the Bureau Chief of Facility Operations
      ii. The Deputy Director or Bureau Chief of ODYS will then notify the Appropriate Regional Director of DRC
iii. The Deputy Director or Bureau Chief of ODYS and the appropriate Regional Director of ODRC will notify the facility Superintendent and Warden of the approval of services

iv. The Deputy Director or Bureau Chief of ODYS and the appropriate Regional Director of DRC will notify the Director of ODYS and Director of ODRC

3. Special Tactics and Response Team (STAR)

a. A Department of Rehabilitation and Correction regional team trained to respond to a Critical Incident using special tactical techniques to resolve the situation and support Special Security Operations to manage disruptive inmates or youth.

b. Should any ODYS site need the services of STAR, the following will occur:

   i. A request for assistance shall be communicated from the site Superintendent or designee to the Deputy Director of Facility Programs & Operations or the Bureau Chief of Facility Operations

   ii. The Deputy Director or Bureau Chief of ODYS will then notify the appropriate Regional Director of DRC

   iii. The Deputy Director or Bureau Chief of ODYS and the Regional Director of ODRC will notify the facility Superintendent and Warden of the approval of services

   iv. The Deputy Director or Bureau Chief of ODYS and the appropriate Regional Director of DRC will notify the Director of ODYS and ODRC

4. Security Staff Response

a. Either agency may need the immediate services of additional security personnel. Those personnel should have the basic skills in fundamental security practices such as: conducting population counts, conducting area and youth or inmate searches, handcuffing youth or inmates, transporting youth or inmates, conducting perimeter security and be familiar with the Critical Incident Management (CIM) system.

b. Should any ODYS site identify an immediate need for these services, the following will occur:

   i. A request for assistance will be communicated from the site Superintendent or designee to the Deputy Director of Facility Programs & Operations or the Bureau Chief of Facility Operations

   ii. The Deputy Director or Bureau Chief of ODYS will then notify the appropriate Regional Director of ODRC

   iii. The Deputy Director or Bureau Chief of ODYS and the appropriate Regional Director of ODRC will notify the facility Superintendent and Warden of the approval of services
iv. The Deputy Director or Bureau Chief of ODYS and the appropriate Regional Director of ODRC will notify the Director of ODYS and ODRC

5. General Personnel, Equipment, or Supplies

a. Either ODYS or ODRC may need the services of additional personnel, equipment, or supplies which may be obtained from a site managed by the other agency.

b. Any inter-agency requests for assistance between ODYS and ODRC will be made directly between the sites involved. If the site receiving the request for assistance feels that additional authorization is needed, then the site receiving the request shall obtain the appropriate authorization before the requested resources will be released.

c. Should either OYS or ODRC need personnel, equipment, or supplies from the other, the request for assistance will be communicated to the Deputy Director or Bureau Chief of ODYS and to the appropriate Regional Director of ODRC.

J. Sheltering and Mass Care Services and Support

1. The American Red Cross and The Salvation Army will provide, as able, short-term and longer-term sheltering, emergency first aid, feeding, and other mass care support services at an ODRC onsite location, or at a nearby, offsite location, for ODRC and other emergency response personnel.

IV. ASSIGNMENT OF RESPONSIBILITIES

A. Federal Aviation Administration (FAA)

1. Track aircraft and aircraft communications in the event of an aircraft escape or attempt.

2. Establish flight restrictions in the air space over prisons.

B. Federal Bureau of Investigation (FBI)

1. Provide technical assistance and intelligence gathering devices and technology for Command Post Operations.

2. Provide other assistance and resources as available.
C. Federal Bureau of Alcohol, Tobacco and Firearms (ATF)

1. Provide assistance in response to incidents of the illegal use and trafficking of firearms, the illegal use and storage of explosives, acts of arson and bombings, acts of terrorism, and the illegal diversion of alcohol and tobacco products.

D. Adjutant General’s Department, Ohio National Guard (ONG)

1. Transportation
   a. Provide air and ground transportation assets, as available, for evacuation and transportation of personnel, supplies, equipment, and other resources.
   b. Assist in debris management operations, as able, including the provision of line-haul and engineer assets and personnel for debris removal and transportation.
   c. Provide, as available, resources for individual and small group evacuation and transport, including: 1. Buses and qualified drivers for mass evacuation and transport, 2. Ambulances for medical evacuation and transport, 3. Aviation resources (helicopter) for individual and small group evacuation, and 4. Air ambulance (helicopter) for medical evacuation and transport.
   d. Provide transportation supplies, equipment, and resources, as available, for the transport of bulk materials and goods.
   e. Provide damage repair resources and equipment, as available, including engineer assets and equipment to temporarily restore/repair infrastructure (roads, culverts, barriers).
   f. Provide line-haul assets, as available for the moving of equipment and supplies.

2. Communications
   a. Deploy communication resources, as available, for assigned emergency missions.
   b. Assist, as able, in the provision and setup of emergency communications equipment, including satellite systems and portable telephone systems.

3. Public Works and Engineering
   a. Provide, as able, general and unique engineering services such as debris hauling, emergency road repairs, and emergency erosion control and demolitions (ESF3).
b. Assist, as able, in demolition and/or emergency repairs or stabilization of unsafe public structures.

c. Assist, as able, in the delivery of potable water.

4. Medical Services

a. Provide, as able, delivery of medical supplies.

b. Support, as able, local EMS teams and health care operations by providing medical services and equipment.

5. Law Enforcement

a. Provide, as able, resources for: security of critical facilities to guard against criminal activity, road blocks and traffic control, mobile security/station security, search and rescue (SAR) actions, and evacuation assistance.

b. In a prison riot situation, serve, as able, as a secondary response team for local and state law enforcement officers should the situation worsen and warrant intervention. ONG may also provide guards at the prison entrances and inmate intake entrances (sally ports) and at designated sites within the cell blocks if needed.

c. Provide, as able, street mission-capable units for riot control.

E. Ohio Department of Transportation (ODOT)

1. Provide, as available, personnel resources to act as operators for requested equipment.

2. Provide, as available, traffic control devices and technical assistance

3. Provide, as available, engineering technical assistance and other transportation-related support.

4. Where local ODOT sites are in close proximity to a facility, provide, as able, access to use of ODOT sites for use as a staging area.

F. Ohio Emergency Management Agency (OEMA)

1. Provide communication equipment, as available, to include: encrypted radios and a portable satellite telephone system with dish with up-link and down-link capabilities.
2. Provide, as able, access to programmable communications equipment capable of communicating with ODRC facilities.

G. Ohio State Highway Patrol (OSHP)

1. Provide, as able, an initial response to a prison incident from local OSHP Post(s); and if additional resources are needed, provide, as able, assistance from the District and adjacent Districts. Response will be determined based on the incident and the need as identified by the institution Incident Commander and the local Post Commander.

2. Coordinate with ODRC, as able, in the evacuation and transfer of the penal inmate populations.

3. Provide, as available, law enforcement support (to include security) for state facilities, institutions, services, officials, and resources.

4. Assist, as able, in evacuation support for institutional persons.

5. Provide a Mobile Command/Communication Vehicle and aviation support to include helicopter and fixed wing aircraft.

6. Provide communications devices, including a hostage drop telephone and intelligence gathering devices.

H. Ohio Department of Youth Services (ODYS)

1. Provide security personnel to assist with conducting population counts, conducting area and offender searches, handcuffing and securing inmates, transporting inmates and conducting perimeter security measures.

I. American Red Cross

1. Provide, as able, sheltering, emergency first aid, feeding, and other mass care support services at an ODRC onsite location, or at a nearby, offsite location, for ODRC and other emergency response personnel.

J. The Salvation Army

1. Provide, as able, feeding, and other mass care support services at an ODRC onsite location, or at a nearby, offsite location, for ODRC and other emergency response personnel.